Blowout Prevention System Events and Equipment Component Failures

2016 SafeOCS Annual Report

U.S. Department of Transportation
Bureau of Transportation Statistics
2016 SafeOCS Annual Report

Blowout Prevention System Events

and

Equipment Component Failures

U.S. Department of Transportation
Bureau of Transportation Statistics
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Interagency Agreement

The Moving Ahead for Progress in the 21st Century Act (MAP-21) (Public Law 112-41) amended Title 49 U.S.C by adding a new chapter (Chapter 63) for the Bureau of Transportation Statistics (BTS). Section 6306 of the chapter authorizes the BTS Director to enter agreements with Federal, State, Local or private agencies for the purposes of transportation data collection and analysis.

The Interagency Agreement between the U.S. Department of the Interior’s Bureau of Safety and Environmental Enforcement (BSEE) and the U.S. Department of Transportation’s Bureau of Transportation Statistics (BTS) governing the efforts undertaken in this report was entered pursuant to the authority of the Economy Act of 1932, as amended (31 U.S.C 1535) and adheres to the Federal Acquisition Regulations (FR) 6.002. To the best of DOI and DOT’s knowledge, the work performed under the agreement does not place BTS in direct competition with the private sector.
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EXECUTIVE SUMMARY

About the Report
The SafeOCS 2016 Annual Report, produced by the Bureau of Transportation Statistics (BTS), summarizes blowout prevention (BOP) equipment failures on marine drilling rigs in the Outer Continental Shelf. It includes an analysis of equipment component failures and other key information such as failure causes, operational impacts, and opportunities to improve data quality.

The report is based on information collected through SafeOCS, a data program initiated in response to recommendations by the National Commission on the BP Deepwater Horizon Oil Spill and Offshore Drilling. The commission recommended that the Department of the Interior’s Bureau of Safety and Environmental Enforcement (BSEE) develop requirements to collect more accurate data on leading indicators of potential risk to all offshore activities.

BTS, a principal federal statistical agency, entered an interagency agreement with BSEE in 2016 to operate the SafeOCS program. BTS began collecting notifications of equipment component failures as required by BSEE’s Well Control Rule, which went into effect July 28, 2016. All SafeOCS data are collected under the Confidential Information Protection and Statistical Efficiency Act of 2002 and protected from legal discovery and FOIA. The data are precursor safety information, and none of the events were associated with loss of well containment, adverse environmental impact, or negative effect on personnel safety.

To review the notifications, BTS retained subject matter experts in drilling operations, equipment testing, equipment design and manufacturing, root cause failure analysis, quality assurance and control, and process design. BTS also consulted with an external technical review team including representatives of the International Association of Drilling Contractors (IADC), contractors, and operators.

Key Findings
- BTS received 821 rig equipment component failure notifications from July 28 to December 31, 2016. The reported failures involved 37 of the 46 rigs with activity in the Gulf of Mexico.
- Four out of the 14 operators who submitted failure notifications accounted 81.3 percent of SafeOCS notifications and 61.4 percent of BOP activities.
- Over three-quarters (77.7 percent) of SafeOCS notifications are from component failures associated with BOP mechanical barriers or barrier support systems. Subsea BOP stacks are more complex and accounted for 91.8 percent of these notifications; surface stacks accounted for the remaining 8.2 percent.
- The top failure types cited were external leakage (44.9 percent), internal leakage (22.0 percent), and mechanical damage (8.8 percent). None of the reported component failures resulted in environmental damage.
- Just over half of equipment failures (54.0 percent) were detected through testing.
- The main reported causes of component failure were wear and tear (32.0 percent) and maintenance error (16.2 percent).
- Nearly four-fifths of the reported failures (77.2 percent) were found when the BOP was not-in-operation.
INTRODUCTION

The 2016 SafeOCS Annual Report, produced by the Bureau of Transportation Statistics (BTS), presents information on equipment component failures occurring during drilling and non-drilling operations in the outer continental shelf (OCS). BTS intends to publish this report and share the results through joint industry forums, workshops, presentations, and follow-up meetings with industry groups.

About the SafeOCS Program

In August 2013, the Bureau of Safety and Environmental Enforcement (BSEE) and BTS signed an interagency agreement to develop and implement SafeOCS, a voluntary program for confidential reporting of “near misses” occurring in the OCS. This program offers a resource to help industry capture and share key lessons from significant near-miss and other safety events, with the objective of preventing, identifying, and mitigating potential high-consequence risks.

In April 2016, the SafeOCS program was expanded to include reporting of blowout prevention (BOP) system and BOP system component equipment failures as mandated by BSEE’s Well Control Rule. BTS and BSEE formally expanded the program in a memorandum of understanding signed on August 18, 2016.

About the BSEE Well Control Rule

BSEE published the Oil and Gas and Sulfur Operations in the Outer Continental Shelf-Blowout Preventer Systems and Well Control Final Rule (WCR) on April 29, 2016, becoming effective on July 28, 2016, as referenced in 30 CFR 250.730.\(^1\) WCR defines an equipment failure as any condition that prevents the equipment from meeting the functional specification and requires reporting of such failures. More specifically, pursuant to 30 CFR 250.730 (c), operators must:

\(1\) Provide a written notice of equipment failure to the Chief, Office of Offshore Regulatory Programs, and the manufacturer of such equipment within 30 days after the discovery and identification of the failure.

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(2) Ensure that an investigation and a failure analysis are performed within 120 days of the failure to determine the cause of the failure. Further, any results and corrective action are to be documented. If the investigation and analysis are performed by an entity other than the manufacturer, the Chief, Office of Offshore Regulatory Programs and the manufacturer receive a copy of the analysis report.

(3) If the equipment manufacturer sends notification of any changes in design of the equipment that failed or changes in operating or repair procedures as a result of a failure, a report of the design change or modified procedures must be submitted in writing to the Chief, Office of Offshore Regulatory Programs within 30 days.

Per the memorandum of understanding, all notifications related to equipment failure are submitted to SafeOCS.

About the American Petroleum Institute (API)

The BSEE WCR adopts industry standards developed by the American Petroleum Institute (API), a national trade association that provides advocacy, research and statistics, standards, certification, events, and training. API develops and maintains 685 petroleum and petrochemical equipment operating standards, specifications, and recommended practices. API standardization procedures ensure appropriate notification and industry participation in the developmental process to be designated as an API standard.

API Standard 53, Blowout Prevention Equipment Systems for Drilling Wells, represents a composite of the practices employed by various operating and drilling companies in drilling operations. The objective of this standard and its requirements is to assist the oil and gas industry in promoting personnel safety, public safety, integrity of the drilling equipment, and preservation of the environment for land and marine drilling operations.

When regulatory agencies adopt industry standards into rule making, these standards are “incorporated by reference” into the agency standard and become mandatory by law. For the WCR, BSEE incorporated by reference API Standard 53 and Specs 16A, C, D, 6A, 17D, H, and Q1, as listed in 30 CFR 250.198. Operators are required to submit equipment failure reports as mandated by 30 CFR 250.730 (c) which incorporates reporting practices found in the aforementioned API Standards and Specifications. API 53, Blowout Prevention Equipment Systems for Drilling, is the recognized industry standard for installing and testing BOP systems for land and marine (offshore) drilling rigs. Section 6 in API 53 lists the requirements for surface BOP systems. Surface BOP equipment event data
reported by jack-ups, offshore platforms and offshore barges are reported in the surface BOP section. Section 7 lists the requirements for subsea BOP systems. Subsea BOP equipment event data reported by Mobile Offshore Drilling Units and other offshore installations are reported in the subsea BOP section. See Appendix B for a list of relevant standards. In the initial implementation of this effort, BSEE requested BTS and industry to focus efforts on API Standard 5S.

**Collaboration and Participation**

The International Association of Drilling Contractors (IADC) and the International Association of Oil and Gas Producers (IOGP) created a Joint Industry Project (JIP) to collect BOP reliability data prior to the issuance of the WCR. To improve the accuracy of data collected, the JIP released a guidance document for the RAPID-S53 (Reliability and Performance Information Database for Well Control Equipment covered under API S53) on March 13, 2017 with subsequent revisions.²

BTS collaborated with the JIP to develop standardized data collection forms, establish an informative process on how to provide the data to SafeOCS, and create a web-based data reporting system. The JIP also supported BTS in developing the User Guide to help operators comply with the reporting requirements of the BSEE WCR. The SafeOCS program has received substantial input from the IADC JIP.

SafeOCS also collaborated with BSEE staff to obtain geographic information for drilling rig operations with subsea and surface BOP equipment active in 2016. With continued commitment from the industry and BTS, continuous process improvements to perform safe and environmentally responsible offshore well control equipment activities are possible.

**About the Report**

The interagency agreement between BSEE and BTS requires BTS to publish a report on the status of SafeOCS, modifications made to the data collection process, lessons learned, and emerging trends based on collected data. This report serves as the first annual report for SafeOCS. The report covers the analysis of equipment component failure notifications as mandated by WCR, and other key information such as operational impact, failure causes, and possible data improvement opportunities. The data analyzed includes failure notifications submitted directly to BTS through SafeOCS (which are protected by data confidentiality regulations described later), as well as notifications reported to BSEE and

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provided to BTS (which are not). To provide context for the failure notifications, additional analysis was performed using the Well Activity Reports (WAR-30 CFR 250.743) provided by BSEE.

The report begins by analyzing aggregate equipment component failure data, then focuses separately on the two major types of BOP stacks: surface and subsea. Within each of those sections, failure notifications are analyzed by whether the failure occurred in-operation or not-in-operation, and whether the failing component was associated with a mechanical barrier system or its support systems. Appendix A contains a glossary with detailed definitions of these terms.

**Scope of Analysis**

The report summarizes BOP equipment component failures on marine drilling rigs (platform, bottom-supported, and floating) within the Gulf of Mexico outer continental shelf reported to SafeOCS and BSEE from July 28, 2016 to December 31, 2016. BSEE defines a failure as any condition that prevents the equipment from meeting the functional specifications. In this report, the terms “notice,” “notification,” and “event” generally refer to reported equipment component failures. While the SafeOCS program received a limited number of equipment failure reports for non-rig units in 2016, this year’s report examines equipment failures on rigs only.

**BOP Equipment Systems**

BOP equipment systems protect personnel and protect the environment by confining well fluids to the wellbore and by providing a means to control adding or removing fluid from the wellbore. BOP equipment systems are a combination of assemblies, many of which are redundant, with each of the assemblies comprising a multitude of components. The redundant systems provide important backup so that equipment can continue to operate in the event of equipment failures, and are required by BSEE regulations and rules, industry standards, and company policies. BOP equipment systems consist of blowout preventers (BOPs), choke and kill lines, choke manifolds, control systems, and auxiliary equipment.

**Well Activity Reports (WAR)**

Well activity reporting in the Gulf of Mexico, Pacific, and Alaska regions is codified in procedures contained in BSEE Notice to Lessees and Operators (NTL) 2009–G20, Standard Reporting Period for the WAR, and BSEE NTL 2009–G21, Standard Conditions of Approval for Well Activities. Rig owners
and operators report well activity to BSEE daily or weekly (depending on the region), per 30 CFR 250.743. Well activity includes drilling and non-drilling operations such as pre-spud operations, drilling, workover operations, well completions, tie-back operations, recompletions, zone change, modified perforations, well sidetracking, well suspension, temporary abandonment, and permanent abandonment. WAR submissions include well activity performed by all drilling rigs, snubbing units, wireline units, coil tubing units, hydraulic workover units, non-rig plug and abandon (PA) operations, and lift boats.

SafeOCS staff and Subject Matter Experts (SMEs) reviewed WAR data submitted to BSEE for the reference period (July 28, 2016, to December 31, 2016) to provide context for the equipment component failures reported to SafeOCS—specifically, to determine the amount of activity performed on each rig. WAR data also typically provide daily logs of offshore well activities and can be used to determine when equipment component failures can occur.

**Drilling and Non-Drilling Operations**

Drilling rigs primarily perform drilling and completion operations, but can also perform operations typically performed by less expensive non-rigs such as well intervention, workover, temporary abandonment, and permanent abandonment. These activities are typically performed by non-rig units such as coil tubing units, hydraulic workovers, wireline units, plug and abandon (P&A) units, snubbing units, and lift boats.

**DATA COLLECTION AND VALIDATION**

**Reporting Well Control Equipment Failures**

Drilling and non-drilling operations can involve three groups: rig operators, rig lessors, and rig owners (also known as drilling contractors). Rig operators receive authorization from rig lessors to manage operations on the designated area and then contract out to rig owners (also called drilling contractors) to set up their rig on the designated area to conduct drilling and drilling-related operations. Operators tend to contract with more than one rig owner if they receive authorization through multiple leases, and rig owners often own more than one rig. In addition, some operators own rigs and some production platforms have rigs on the platform on a permanent or temporary basis.

Operators must report failures of BOP systems and their system components to BSEE and the original equipment manufacturers (OEM) within 30 days of discovering and identifying a failure. BSEE has directed industry to submit all notifications to SafeOCS. Prior to the SafeOCS system deployment, BSEE
received approximately 600 notifications in 2016. The remainder of the failure notifications were submitted directly to SafeOCS, and BSEE transferred all notifications it received directly to SafeOCS.

Operators submitted the notifications in several forms: handwritten forms, Excel summaries, and SafeOCS website forms. Within the notifications received, 11 notifications were from non-rig units performing non-drilling operations. Due to the limited number of notifications received, equipment component failures associated with non-rig units could not be analyzed in this first year.

**SafeOCS User Guide**

SafeOCS solicited input from the JIP to create a guidance document to assist operators in reporting BOP equipment failures.³ The SafeOCS user guide provides detailed instructions and definitions to the OCS oil and gas industry operators for selecting and inputting data in the form. This will improve the quality of the data being reported and the analysis that can be conducted. Updates to the guidance document will occur periodically.

**Subject Matter Expert (SME) Review**

SafeOCS retained subject matter experts (SMEs) in drilling operations, production operations, subsea engineering, equipment testing, well control equipment design and manufacturing including BOPs, root cause failure analysis, quality assurance and quality control, and process design. The SMEs assisted in developing the data collection forms and process and reviewing notification data for accuracy and consistency. They also contributed to validating and clarifying SafeOCS data and BSEE WAR data. Finally, they provided support for verifying and validating facts and providing input to the 2016 SafeOCS Annual Report.

BTS and SafeOCS staff also consulted with an external technical review team with members representing the IADC–IOGP JIP, contractors, and rig operators. The review team not only collaborated with BTS to improve the data collection and reporting process, but also provided invaluable assistance identifying improvements that would benefit industry efforts. Failures at the component level were of most interest for industry, such as which specific components failed, why they failed, and where the failure occurred. Time in operation prior to failure was also noted as important, which will be a subject for future analysis.

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Data Confidentiality

The confidentiality of all data submitted to SafeOCS is protected by the Confidential Information Protection Efficiency Act of 2002 (CIPSEA). However, data submitted directly to BSEE are not protected by CIPSEA. Data protected under CIPSEA may only be used for statistical purposes. This requires the following: a) only summary statistics and data analysis results will be made available; b) microdata on incidents collected by BTS may not be used for regulatory purposes; and c) information submitted under this statute is also protected from release to other government agencies including BSEE, as well as protection from Freedom of Information Act (FOIA) requests and subpoenas.

DATA ANALYSIS: OVERVIEW

Key Findings

- SafeOCS received 832 notifications from July 28 to December 31, 2016, for an average of 160.4 reports per month from August to December.
- Two-thirds of observed equipment component failures (67.0 percent) involved external or internal leaks.
- The three most frequent observed failures were external leaks (44.8 percent), internal leaks (22.2 percent), and mechanical damage to components (8.9 percent).
- Just over half of the equipment component failures (53.8 percent) were detected through testing.
- More than three-quarters (77.2 percent) of the failures were found not-in-operation.
- Nearly half of the reports that reported root causes cited wear and tear (32.1 percent) or maintenance error (16.2 percent) as root causes.
- The four most active operators in the Gulf of Mexico reported 81.3 percent of SafeOCS notifications, and accounted for 61.4 percent of BOP days.

The WCR covers drilling and non-drilling operations in the OCS, which includes three BSEE regions (Gulf of Mexico, Pacific, and Alaska). For 2016, SafeOCS received equipment failure notifications from one region, the Gulf of Mexico. Figure 1 illustrates the drilling and non-drilling activity locations for July through December of 2016 and does not represent location of reported failures. Exact locations of reported equipment component failures are not disclosed in this document to protect the confidentiality of the data.
NOTE: Rig and non-rig unit markers indicate locations of drilling and non-drilling activity only, and not reported component failures.


SafeOCS received 832 equipment failure notifications during the reporting period of July 28 to December 31, 2016, as shown in Figure 2. Eleven notifications associated with non-drilling operations by non-rig units were excluded from the analysis due to their small number. Therefore, the final number of notifications analyzed for 2016 was 821, submitted by 37 out of the 46 rigs indicating activity in the Gulf of Mexico, as reported in the WAR data. The average number of notifications per month from August to December 2016 was 160.4.
Figure 2: Equipment Failures Reported by Month, July 28 to December 31, 2016

NOTE: The 2016 reported component failures did not indicate any loss of well containment, adverse environmental impact, or negative effect on personnel safety. The July reporting period covers July 28–31.

SOURCE: U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

Rig Activity Measures and Component Counts

Measures of rig activity provide important context for evaluating the risk of equipment component failure. All other things being equal, a rig with more activity has a higher risk of equipment component failure than a rig with less activity. To measure rig activity, SafeOCS staff analyzed WAR data and calculated the number of days each rig was active. Some rigs have more than one BOP stack, and SafeOCS staff adjusted the days of activity on a rig to account for the number of BOP stacks. The final measure, BOP days, offers a reasonable approximate measure of “rig activity” or the time period (in days) when an equipment component failure could have occurred.

The number of components on a rig also provides important context for evaluating equipment component failures. A rig may have more than 4,000 reportable components, and some types of components are much more common than others. Appendix D lists reportable components on a typical subsea BOP stack. BTS is working with the IADC–IOGP JIP to obtain rig-specific component information from the Rapid-S53 data, and will use the information in future reports to provide more context for equipment failures.
Who Reported Equipment Failures

Figure 3 shows SafeOCS notifications from 14 operators that submitted equipment failure notifications. Four of the 14 operators reported 81.3 percent of the failure notifications, and accounted for over half (61.4 percent) of the total rig activity for the reporting period, measured in BOP days. Rig activity varies across reporting operators compared to their contributing failure notifications. The difference is partly due to operators contracting with multiple rig owners, or the same rig owner multiple times, over the course of the reporting period.

Figure 3: Equipment Failures Reported by Operator

NOTE: Operator names have not been disclosed to preserve reporter confidentiality. The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

SOURCE: U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

How Equipment Failed

Reporting operators are asked to specify the type of failure each component experienced, which depicts what was actually observed at the time of failure. Examples of failure types include leakage, loss of pressure, failure to seal, or loss of communication between the control system and other components.

Figure 4 reveals that leaks represent 67.0 percent of the equipment failures. External leaks (44.8 percent) and internal leaks (22.2 percent) are the most commonly reported types of failure. An external leak means that a component (such as an SPM valve, regulator, or control tubing) is leaking fluid from a contained space to an uncontained space—for example, in the air for surface components, or in
the sea for subsea components. The fluids are primarily environmentally safe control fluids, not well fluids. Over 81.8 percent of external leaks were discovered while the BOP was not operating. Any unplanned external control fluid leaks and well fluid leaks are reported to appropriate regulatory agencies. An internal leak means that a component is leaking pressurized fluid from one contained space to another.

Mechanical damage—for example, worn pistons or damaged bladders, springs, and bolts—was the third most reported observed failure (8.9 percent). These failures occurred mainly on internal components such as seals, seats, and actuating elements, and did not have any negative environmental impact.

**Figure 4: Notifications by Observed Failure**

<table>
<thead>
<tr>
<th>Failure Type</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>External leak</td>
<td>44.8%</td>
</tr>
<tr>
<td>Internal leak</td>
<td>22.2%</td>
</tr>
<tr>
<td>Mechanical damage</td>
<td>8.9%</td>
</tr>
<tr>
<td>Inaccurate indication</td>
<td>3.0%</td>
</tr>
<tr>
<td>Fail to seal</td>
<td>2.6%</td>
</tr>
<tr>
<td>Ground fault</td>
<td>1.5%</td>
</tr>
<tr>
<td>Other failures</td>
<td>17.1%</td>
</tr>
</tbody>
</table>

**NOTE:** The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

**SOURCE:** U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

**How Failures Were Detected**

How failures are detected can be important for increasing early detection and reducing consequences of failures. Equipment failures are detected via several methods:

- **Testing:** applying pressure (pressure testing) or commanding equipment to function (function testing) to determine if the equipment performs properly or maintains integrity, often performed on a schedule.
- **Inspection:** Visual or electronic observation, via a camera on a remotely operated vehicle (ROV), usually involving some disassembly and often performed on a schedule.
- **Casual observation:** visual observation not requiring disassembly and not on a schedule.
- **Continuous condition monitoring**: continuous monitoring with automated sensors and gauges, often with predetermined alarms.

The majority of equipment failures (53.8 percent) were detected through testing, which included function testing in-operation, pressure testing in-operation, function testing on deck, and pressure testing on deck (i.e., testing not-in-operation) (Figure 5). Most (78.5 percent) of the 442 failures detected during testing were found not-in-operation. Continuous ROV (for subsea equipment in-operation) and human surveillance (for surface equipment or when equipment is on deck) have been essential for detecting BOP system failures via casual observation (18.4 percent).

**Figure 5: Failure Detection Methods**

- **Casual Observation**: 18.4%
- **In-operation testing**: 11.8%
- **Not-in-operation testing**: 42.0%
- **Testing**: 53.8%
- **Corrective maintenance**: 1.6%
- **On demand**: 0.9%
- **Periodic condition monitoring**: 3.0%
- **Periodic maintenance**: 3.8%
- **Inspection**: 8.0%
- **Continuous condition monitoring**: 10.5%

**NOTE**: The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

**SOURCE**: U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

**Why Equipment Failed**

Many factors can cause a component to fail. For example, an equipment design error could lead to a malfunction, or the equipment could experience normal wear and tear. In some instances, the cause is immediately known; in other instances, the cause is less clear. Depending on the type of failure, the component may be repaired or replaced without further investigation, or the failed component may be sent to the OEM or a third party for a root cause failure analysis (RCFA). RCFAs can help the operator, rig owner, and OEM identify underlying issues which may contribute to reoccurring failures.
Some reports have limited information on root causes and limited information on whether the equipment was sent to the OEM or a third party because the original SafeOCS form did not request this information. A new form, introduced in November 2016, included data fields for this information. The 2017 SafeOCS report will contain more information on RCFA efforts.

Figure 6 lists the root causes reported for equipment failures, including root causes identified by SMEs reviewing the reports. Nearly half of the 821 reports cited wear and tear (32.1 percent) or maintenance error (16.2 percent) as root causes. No root cause can be determined for 27.4 percent of the reports, and 7.7 percent reported that an assessment was pending. Almost one quarter of the equipment failures (24.4 percent) had a RCFA completed or in process, as indicated by the orange sections of the bars in Figure 6.

Maintenance error is the most actionable cause identified. There were 58 cases for which the failure was identified as a maintenance-induced failure, detected during pre-deployment testing required before returning the equipment to service. These failures occurred on 23 different components and were primarily due to maintenance errors.

**Figure 6: Suspected Root Causes and RCFA Status for Component Failures**

- **Wear and tear**: 27.3% (4.8% RCFA performed)
- **Not determined**: 25.0% (2.4% RCFA performed)
- **Maintenance error**: 12.2% (4.0% RCFA performed)
- **Assessment pending**: 7.6
- **Other**: 4.3 (1.5% RCFA performed)
- **Design issue**: 2.9 (1.9% RCFA performed)
- **QA/QC manufacturing**: 2.1 (0.9% RCFA performed)
- **Procedural error**: 1.5 (1.2% RCFA performed)
- **Documentation error**: 0

**NOTE**: Labels not shown for percentages under 0.5 percent. The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

**SOURCE**: U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.
RCFAs are generally completed by the OEM after receiving failed equipment components (Figure 7). One quarter of the notifications (24.6 percent) stated that the equipment was not sent to shore for repair, maintenance, or failure evaluation. The data field for root causes was not included in the original short form, and the follow-up procedures could not be determined for over half (54.0 percent) of the failure notifications.

**Figure 7: Failed Equipment Components Sent for Follow-Up Procedures**

<table>
<thead>
<tr>
<th>Data field unavailable</th>
<th>54.0%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not sent to shore</td>
<td>24.6%</td>
</tr>
<tr>
<td>Unknown</td>
<td>11.0%</td>
</tr>
<tr>
<td>OEM (analysis)</td>
<td>7.2%</td>
</tr>
<tr>
<td>OEM (repair)</td>
<td>1.9%</td>
</tr>
<tr>
<td>Disposal</td>
<td>0.7%</td>
</tr>
<tr>
<td>Third-party analysis</td>
<td>0.6%</td>
</tr>
</tbody>
</table>

**NOTE:** The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

**SOURCE:** U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

**DATA ANALYSIS: BOP EQUIPMENT FAILURES**

**Key Findings**

- Subsea BOP stacks are more complex than surface stacks and contribute the majority (91.8 percent) of the failure reports.
- More than three-quarters (77.4 percent) of BOP failure reports involve components associated with mechanical barriers or barrier support systems.

There are two types of BOP stacks used, subsea and surface. Subsea stacks have substantially more components than surface stacks—approximately 4,000 for a typical subsea stack versus 480 for a typical surface stack—suggesting that subsea stacks (and associated redundant control systems on those stacks) are more complex, with greater numbers of components, than surface BOP stacks. The equipment failure notifications reflect this pattern, with the majority of BOP equipment failures (91.8 percent)
occurring on subsea stacks (Figure 8). Thirteen of the 37 rigs operating in the Gulf of Mexico during the reporting period (35.1 percent) had surface BOP stacks, but surface stacks account for only 8.2 percent of the failure notifications. Due to the differences between subsea and surface systems, the following analysis will focus on each system separately.

**Figure 8: Failure Events by BOP Stack Type**

![Pie chart showing failure events by BOP stack type: Subsea BOP stack 91.8%, Surface BOP stack 8.2%]

**NOTE:** The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

**SOURCE:** U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

Well mechanical barriers and the barrier support systems are essential elements of a BOP stack, with a level of component redundancy to maintain proper functionality. The mechanical barriers actively contain the oil and gas from the well, and the barrier support systems supply the energy and control the mechanical barrier equipment. Of the 821 equipment failure notifications, 635 (77.4 percent) involved components associated with mechanical barriers or barrier support systems, as defined in “Mechanical Barriers and Barrier Support Systems” (Figure 9).
**Data Analysis: Subsea BOP Equipment Failures**

**Key Findings**

- Nearly four-fifths of the SafeOCS reported failures (79.8 percent) for subsea BOP stacks were found when the BOP was not-in-operation.

The subsea BOP system is the more complex of the two types of stacks, and had 91.8 percent of failure notifications for the reporting period. A subsea stack is comprised of major systems such as the marine risers, lower marine riser package (LMRP), and the lower BOP stack. (Appendix C contains diagrams of BOP systems.) The LMRP is comprised of a riser transition, flex joints, annular BOP, and control pods. The lower stack is typically comprised of blind shear rams, pipe rams, casing shear ram, choke and kill system, and wellhead connector. Additional safety systems are in place, which may include the...
emergency disconnect system/sequence (EDS), autoshear system, deadman system, ROV intervention system, and acoustic system. Some rigs have redundant, or two, subsea BOP stacks to improve productivity. Of the 37 rigs that reported failures, 16 rigs were equipped with 2 BOP stacks each.

On subsea stacks, the BOP is subject to extensive testing prior to deployment and installation. During these phases of operation, the BOP is considered not-in-operation. Once the BOP has successfully gone through this pre-deployment testing, the BOP is run down to the sea floor and attached to the wellhead. The BOP stack is considered in-operation after it has completed a successful pressure test of the wellhead connection per approved well plan.

The BOP stack changes from in-operation to not-in-operation when the BOP is removed from the wellhead or when the LMRP is removed from the lower stack. For example, when running or pulling (retrieving) the stack back to the surface, the BOP stack is considered not-in-operation.

For the analysis of subsea failures, the report will be organized first by events occurring in-operation and then by events occurring out of operation, with each section focusing on failures that affected mechanical barriers and support systems for those barriers. Figure 10 shows in-operation and not-in-operation notifications received for subsea stacks.

**Figure 10: Equipment Failures Reported In-Operation and Not-in-Operation (Subsea)**

NOTE: The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

SOURCE: U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.
**In-Operation Failures**

Nearly three-quarters of the subsea notifications occurring in-operation had a component failure associated with a well mechanical barrier (25.0 percent) or the mechanical barrier support system (44.1 percent) (Figure 11). As stated above, not all failures have equal operational implications. Depending on the component and the nature of the failure, there could be redundant systems in place to assure continuation of operations safely, or the failure was minor enough and the component remained operational. As a result, only 9.2 percent of the component failures (7.9 percent mechanical barrier, 1.3 percent barrier support) disabled a mechanical barrier or barrier support system. Based on the reported data, none of these events were associated with loss of well containment, adverse environmental impact, or negative effect on personnel safety. The next section examines these failures in more detail.

**Figure 11: Component Failure Distribution by System (Subsea In-Operation)**

![Component Failure Distribution by System](image)

**NOTE:** Stack configurations may vary due to operators exceeding the regulatory requirements and meeting their own safety protocols. These configurations could add components to the BOP stack and supporting systems.

**SOURCE:** U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

**Mechanical Barriers and Barrier Support Systems**

Regulations require operators to maintain two barriers for well control in an oil or gas well:

1. Conditioned drilling fluid (commonly called “mud”) in the wellbore, which exerts pressure on the geological formation to prevent an influx of formation fluids such as pressurized seawater or gases such as hydrocarbons.
2. The BOP stack which is connected to the subsea wellhead and can include a combination of the following equipment: annular preventer, drill string valve, pipe ram preventer, riser connector, riser mandrel, shear ram preventer, stack choke and kill system, and wellhead connector.

There are generally two types of mechanical barriers within the BOP: one set designed for use when pipe is present in the BOP (barriers that close on the pipe); and one set designed for use when pipe is not present in the BOP (barriers that close off the wellbore). During operations when pipe is present in the BOP, the BOP rams (pipe rams, blind shear rams, and annular preventer), wellhead connector, inside BOP (drill pipe), and choke and kill valves contain the drilling fluid in the wellbore. During operations when pipe is not present in the BOP, the barriers (annular preventer, blind shear rams, hydraulic connector lower choke and kill valves) contain the drilling fluid in the wellbore. These wellbore fluid-containing devices are mechanical barriers. Each mechanical barrier is composed of many components, as well as a barrier support system.

Figure 12 depicts subsea BOP stack components with five or more reported failures that also had failures associated with mechanical barriers. The green bars represent the total failures for each component, the dark blue bars represent failures while in-operation, and the light blue bars represent failures that were associated with a mechanical barrier or support system.

Figure 12 includes failures on two barrier components: choke and kill valves and ram block seals. For those components, all of the in-operation failures were associated with mechanical barriers. Figure 12 also includes failures on barrier support systems, such as piping tubing and regulators. The majority of these failures were found while the components were not-in-operation. Very few of the barrier support system failures disabled a mechanical barrier because alternative support systems such as control systems or electrical systems were available to operate the mechanical barrier.
Component Failures Associated with Subsea Stack Pulls

Stack pulls, which have high operational impact, are necessary to maintain reliability and BOP integrity and can be planned or unplanned. Planned stack pulls are usually scheduled at the end of well activities (between wells) or as necessary for required integrity checks. Unplanned stack pulls occur when either the BOP is removed from the wellhead or the LMRP is removed from the lower stack to repair a component that failed while the BOP is in-operation. Unplanned stack pulls are resource-intensive activities and cause significant operational delays. When a component fails in a mechanical barrier or support system on the BOP stack, an assessment is made of the remaining redundant barrier elements to determine if a BOP stack pull is warranted to reduce hazard and perform repairs.
Unplanned in-operation BOP stack pulls, which represent 1.6 percent of the total reported subsea failures in 2016, have the greatest impact on operations. There were 13 unplanned subsea BOP stack pulls while in-operation (Table 1). The data show a correlation between stack pulls and component failures that disabled a mechanical barrier: 7 of the 14 component failures that disabled a mechanical barrier also led to stack pulls. One reason that not all barrier-related failures lead to stack pulls is that the BOP stack often contains redundant mechanical barriers. The remaining 6 of the 13 unplanned stack pulls were performed to restore redundancy even though the mechanical barrier was not disabled.

**Table 1: Equipment Component Failures Leading to Unplanned In-Operation Stack Pulls (Subsea)**

<table>
<thead>
<tr>
<th>Associated system</th>
<th>System component</th>
<th>Stack pulls</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annular preventer</td>
<td>Packing element</td>
<td>1</td>
</tr>
<tr>
<td>Autoshear deadman EHBS</td>
<td>SPM valve</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>Trigger valve</td>
<td>1</td>
</tr>
<tr>
<td>BOP control panel</td>
<td>UPS</td>
<td>1</td>
</tr>
<tr>
<td>BOP control pod</td>
<td>Regulator</td>
<td>2</td>
</tr>
<tr>
<td>BOP controls stack mounted</td>
<td>Piping tubing</td>
<td>1</td>
</tr>
<tr>
<td>Pipe ram preventer</td>
<td>Ram block hardware</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>Other mechanical elements</td>
<td>1</td>
</tr>
<tr>
<td>Shear ram preventer</td>
<td>Bonnet operating seal</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>Other mechanical elements</td>
<td>2</td>
</tr>
<tr>
<td>Stack choke and kill system</td>
<td>Choke and kill valve</td>
<td>1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td><strong>13</strong></td>
</tr>
</tbody>
</table>

**NOTE:** The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

**SOURCE:** U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

Per regulation, stack pulls are to be followed up with an RCFA to determine the specific details and causes of the component failure that lead to the stack pull. These RCFAs are expected to provide specific cause data to resolve equipment issues systematically. Four of the 13 subsea stack pulls had RCFAs submitted to SafeOCS. Due to the small number of RCFAs, this report does not include an analysis of RCFA results.

**Not-in-Operation Failures**

Failures discovered while not-in-operation are important for discovering potential issues with the equipment before it goes in-operation. Most not-in-operation failures occur in one of two scenarios:
1. Testing, inspection, and routine maintenance performed on deck to identify and fix components beginning to deteriorate in-operation. The deterioration may have been slight enough that the components functioned normally in-operation, but the testing identifies issues before the components are put back in service.

2. Routine testing and maintenance performed while components are not-in-operation. In this scenario, operators can find and proactively fix any defects that may have been introduced by maintenance before being put back in-operation.

Subsea BOP stacks are considered not-in-operation during pre-deployment, prior to latch-up to the wellhead, and either the BOP is removed from the wellhead or the LMRP is removed from the lower stack. Regular testing, inspection, and monitoring of the equipment occurs frequently during this time. Routine 7- and 14-day testing, pre-deployment testing, inspections, and other monitoring are completed to ensure equipment is operating properly before beginning drilling operations. The testing and monitoring are meant to catch issues that could arise in-operation to reduce component failures in critical systems.

Nearly four-fifths (79.8 percent) of the equipment component failures for subsea BOP stacks were detected and resolved while the stack was not-in-operation (Figure 10). The failures had no environmental impact. The current data appear to show a correlation between the proportion of failures found not-in-operation versus in-operation (Figure 13). The higher number of failures found not-in-operation on a rig appears to lead to fewer failures occurring in-operation, and potentially improving the operational reliability of the BOP stack.
Figure 13: Equipment Failures In-Operation Versus Not-in-Operation by Rig (Subsea)

NOTE: The figure includes subsea rigs with more than 10 equipment component failure notifications received. Rig names have not been disclosed to preserve reporter confidentiality.

SOURCE: U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

Figure 14 illustrates these not-in-operation failures by system. For these failures, 58.0 percent occurred on a barrier support system, 22.8 percent occurred on a mechanical barrier system, and 19.3 percent occurred on other support systems. The percentage of barrier support system failures is higher for not-in-operation stacks than for in-operation stacks (58.0 percent versus 44.1 percent).
Figure 15 illustrates components with five or more reported failures that had failures associated with mechanical barriers. Similarly, Figure 16 illustrates components that had failures associated with barrier support systems.
Figure 15: Component Failures Associated with Barriers (Subsea Not-in-Operation)

<table>
<thead>
<tr>
<th>Component</th>
<th>Total subsea failures</th>
<th>Not-in-operation failures</th>
<th>Failures associated with barriers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bonnet Hardware</td>
<td>9</td>
<td>9</td>
<td>11</td>
</tr>
<tr>
<td>Bonnet Operating Seal</td>
<td>10</td>
<td>10</td>
<td>12</td>
</tr>
<tr>
<td>Choke and Kill Valve</td>
<td>16</td>
<td>16</td>
<td>24</td>
</tr>
<tr>
<td>Choke and Kill Valve Operator Seal</td>
<td>7</td>
<td>7</td>
<td>9</td>
</tr>
<tr>
<td>Drillstring Safety Valve</td>
<td>5</td>
<td>5</td>
<td>7</td>
</tr>
<tr>
<td>Locking Device</td>
<td>5</td>
<td>5</td>
<td>6</td>
</tr>
<tr>
<td>Operating System Seal</td>
<td>13</td>
<td>13</td>
<td>14</td>
</tr>
<tr>
<td>Packing Element</td>
<td>6</td>
<td>6</td>
<td>10</td>
</tr>
<tr>
<td>Ram Block Hardware</td>
<td>12</td>
<td>12</td>
<td>14</td>
</tr>
<tr>
<td>Ram Block Seal</td>
<td>7</td>
<td>7</td>
<td>15</td>
</tr>
</tbody>
</table>

**NOTE:** The figure includes equipment components with 5 or more failure notifications received. The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

**SOURCE:** U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.
Figure 16: Component Failures Associated with Barrier Support Systems (Subsea Not-in-Operation)

NOTE: The figure includes equipment components with 5 or more failure notifications received. The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

SOURCE: U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.
Data Analysis: Surface BOP Equipment Failures

Surface BOPs are similar to subsea BOPs, but are less complex and often have fewer components. Surface BOP stacks are normally used on fixed platforms, jack up rigs, spar platforms, and tension leg platforms (TLPs). The equipment is readily accessible on the surface to perform installation, drilling, and maintenance activities.

Sixty-seven of the 821 reported failures (8.2 percent) occurred on surface BOP stacks. Just over half (52.2 percent) of those were found while the equipment was in-operation (Figure 17). The percentage of failures occurring while in-operation is higher on surface stacks than on subsea stacks; however, conclusions cannot be drawn from this small number of reports. Future reports will examine surface BOP equipment failures in detail when more data become available.

Figure 17: Reported Equipment Failures on Surface Stacks

![Graph showing in-operation and not-in-operation failures on surface stacks]

**NOTE**: The reported events did not cause any environmental impact or harm to persons, and do not imply that loss of well containment occurred.

**SOURCE**: U.S. Department of Transportation, Bureau of Transportation Statistics, SafeOCS program.

In-Operation Failures

Like subsea BOPs, surface BOPs are only in-operation after they are attached to the wellhead and have completed a successful pressure test of the connection to the wellbore per the approved well plan.
**Mechanical Barriers and Barrier Support Systems**

Twenty (57.1 percent) of the in-operation component failures were associated with the mechanical barriers or their support systems.

**Components Associated with Surface BOP Stack Pulls**

A surface BOP stack pull occurs when a component fails in-operation and requires well conditioning and a mechanical barrier placement to make necessary repairs. The BOP stack cannot be classified as a stack pull until after it has been in-operation. (In other words, only in-operation BOP stacks can be classified as stack pulls.) The three surface BOP stack pulls that were reported involved components (seals, packing, and other hardware) associated with the mechanical barriers (ram preventer, annular preventer, and shear ram preventer).

**Not-in-Operation Failures**

Surface stack equipment, like subsea stack equipment, undergoes testing, inspection, and other monitoring while not-in-operation. The BOP stack changes from in-operation status to not-in-operation status when the well is conditioned and a mechanical barrier (i.e., packer, test plug) is set in the wellbore.

**Conclusions**

**Key Findings**

Equipment component failure notifications contain data describing what failed, where it failed, how it failed, how it was discovered, and why it failed. Fourteen operators reported component failures to SafeOCS, and the reports involved 37 of 46 rigs operating in the Gulf of Mexico in 2016.⁴

Both types of BOP stacks (subsea and surface) reported component failures. Most of the SafeOCS failure reports (91.8 percent) were from the more complex subsea BOP stacks, and 8.2 percent were from surface BOP stacks. Seventy-seven percent of the SafeOCS reports are from component failures associated with BOP mechanical barriers or their support systems.

Four of the 14 operators reported 81.3 percent of the failure notifications. Leakage (either internal or external) was the primary observed component failure in 67.0 percent of the reports submitted. About ⁴ Other rigs may have had unreported failures.
half of equipment failures were detected through testing. Wear and tear and maintenance error were the predominant reported causes of component failure (32.1 percent and 16.2 percent).

Knowing when the component failures occurred is also important. Nearly four-fifths of the SafeOCS reported failures (77.2 percent) were found when the BOP was not-in-operation; 22.8 percent were found when the BOP was in-operation. The higher number of failures found when the BOP was not-in-operation may lead to fewer failures occurring in-operation, improving the operational reliability of the BOP stack. Some failures that occur in-operation require the stack to be pulled for repair. These unplanned BOP stack pulls represent 1.6 percent of the total reported failures (13 stack pulls) in 2016.

Of the subsea component failures discovered in-operation, 69.1 percent were associated with mechanical barriers or their support systems. Fourteen of these in-operation failures disabled the mechanical barrier. None of these events were associated with loss of well containment, adverse environmental impact, or negative effect on personnel safety.

**Next Steps: Opportunities for Improving Data Quality**

Failure notifications were first sent directly to BSEE using a short form to protect the reporter identifying information. Once data collection protocols and procedures were established, the data collection form was expanded to capture additional data fields and was included in SafeOCS. For the 2016 reporting period, operators used multiple forms and multiple routes of data submission, leading to potential data inconsistencies. With extensive technical input from the JIP, SafeOCS/BTS has substantially improved the data collection process, resulting in a more robust data set for the 2017 annual report. BTS will continue to work with the JIP and other stakeholders to optimize data input (for example, through batch processing of reports), improve measures of exposure by collecting more detailed component population data, and develop analytical tools to facilitate aggregate analysis of the SafeOCS database by interested stakeholders.

Collecting and analyzing well control failure data has several applications for industry, including sharing equipment information, establishing an equipment life expectancy database, identifying possible improvement efforts, and contributing to standards improvement. Better data quality in equipment failure reporting will improve the data’s usefulness in these efforts.
Appendix A: Glossary and Acronym List

Glossary

**Accumulator:** A pressure vessel charged with gas (nitrogen) over liquid and used to store hydraulic fluid under pressure for operation of blowout preventers (BOPs).

**Annular Preventer:** A device that can seal around any object in the wellbore or upon itself. Compression of a reinforced elastomer packing element by hydraulic pressure affects the seal.

**Autoshear System:** A safety system that is designed to automatically shut-in the wellbore in the event of a disconnect of the LMRP. When the autoshear is engaged, disconnecting the LMRP closes the shear rams.

**Auxiliary Control Device/Panel:** A system, function, or control strategy installed on a marine diesel engine that is used to protect the engine and/or its ancillary equipment against operating conditions that could result in damage or failure, or that is used to facilitate the starting of the engine. May also be a strategy or measure that has been satisfactorily demonstrated not to be a defeat device.

**Blind Shear Ram (Shear Ram):** A closing and sealing component in a ram blowout preventer that first shears certain tubulars in the wellbore and then seals off the bore, or acts as a blind ram if there is no tubular in the wellbore.

**Blowout Preventer (BOP):** A device installed at the wellhead, or at the top of the casing, to contain wellbore pressure either in the annular space between the casing and the tubulars or in an open hole during drilling, completion, testing, or workover.

**BOP Control Pod:** An assembly of subsea valves and regulators hydraulically or electrically operated which, when activated from the surface, will direct hydraulic fluid through special porting to operate BOP equipment.

**BOP Control System (BOP Controls):** The system of pumps, valves, accumulators, fluid storage and mixing equipment, manifold, piping, hoses, control panels, and other items necessary to hydraulically operate the BOP equipment.

**BOP Stack:** The assembly of well control equipment including preventers, spools, valves, and nipples connected to the top of the wellhead, or top of the casing, that allows the well to be sealed to confine
well fluids. A BOP stack could be a subsea stack (attached to the wellhead at the sea floor), or a surface stack (on the rig or non-rig above the water).

**BOP Stack Pull (Subsea):** When either the BOP is removed from the wellhead or the LMRP is removed from the lower stack to repair a failed component. The BOP stack cannot be classified as a stack pull until after it has been in-operation as defined above.

**BOP Stack Pull (Surface):** When a BOP component fails during operations and requires well conditioning and a mechanical barrier placement to make necessary repairs.

**Check Valve:** A valve that allows fluid to flow in one direction, containing a mechanism to automatically prevent flow in the other direction.

**Choke and Kill Manifold:** An assembly of valves, chokes, gauges, and lines used to control the rate of flow and pressure from the well when the BOPs are closed.

**Choke and Kill Valve:** BOP stack-mounted valves that are connected below selected BOPs to allow access to the wellbore to either choke or kill the well.

**Control Fluid:** Hydraulic oil, water-based fluid, or gas which, under pressure, pilots the operation of control valves or directly operates functions.

**Disable a mechanical barrier:** To cause a mechanical barrier not to perform its intended function (for example, a failure that causes an annular preventer to fail to seal, or fail to open or close).

**Deadman:** Static holding device installed in the ground separate from the rig structure and to which guyline(s) may be attached.

**Diverter Control System:** The assemblage of pumps, accumulators, manifolds, control panels, valves, lines, etc. used to operate the diverter system.

**Drill String:** Several sections or joints of drill pipe joined together.

**Gate Valve:** A valve that employs a sliding gate to open or close the flow passage.

**In-Operation (Subsea):** A BOP stack is in-operation after it has completed a successful pressure test of the wellhead connection to the well-bore per approved well plan.
**In-Operation (Surface):** A surface BOP stack is in-operation after it has completed a successful pressure test of the wellhead connection to the well-bore per approved well plan.

**Not-in-Operation (Subsea):** The BOP stack changes from in-operation to not-in-operation when either the BOP is removed from the wellhead or the LMRP is removed from the lower stack. When running or pulling (retrieving) the stack, the BOP stack is *not*-in-operation.

**Not-in-Operation (Surface):** A surface BOP changes from in-operation to not-in-operation when the well is conditioned and a mechanical barrier (i.e., packer, test plug) is set in the well bore.
**Acronym List**

**ANSI**: American National Standards Institute

**API**: American Petroleum Institute

**BOP**: Blowout Preventer

**BSEE**: Bureau of Safety and Environmental Enforcement

**BTS**: Bureau of Transportation Statistics

**EDS**: Emergency Disconnect sequence

**HSE**: Health, Safety and Environment

**IADC**: International Association of Drilling Contractors

**JIP**: Joint Industry Project

**LMRP**: Lower Marine Riser Package

**MUX**: Multiplex System

**OEM**: Original Equipment Manufacturer

**RCFA**: Root Cause Failure Analysis

**SME**: Subject Matter Expert

**WAR**: Well Activity Report (per 30 CFR)
Appendix B: RELEVANT STANDARDS

Industry Standards with Relevant Sections Incorporated by Reference in 3030 CFR 250.198

- ANSI/API Spec. 6 A, Nineteenth Edition specification for Wellhead and Christmas Tree Equipment
- API Spec. 16 C, First Edition specification for Choke and Kill Systems
- API Spec. 16 D, Second Edition specification for control systems for Drilling Well Control Equipment and Control systems for Diverter systems
- API RP 17 H First Edition, Remotely Operated Vehicle Interface on Subsea Systems
- API Q1

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30 CFR 250.730 (a)(1) The BOP requirements of API Standard 53 (incorporated by reference in § 250.198) and the requirements of §§ 250.733 through 250.739. If there is a conflict between API Standard 53, and the requirements of this subpart, you must follow the requirements of this subpart.

Final Federal Register Volume 81, Issue 83 (April 29, 2016), Page 25892

BSEE's former regulations repeated similar BOP requirements in multiple locations throughout 30 CFR part 250. In this final rule, BSEE is consolidating these requirements into subpart G (which previously had been reserved). The final rule will structure subpart G—Well Operations and Equipment, under the following undesignated headings:

- General Requirements
- Rig Requirements
- Well Operations
- Blowout Preventer (BOP) System Requirements
- Records and Reporting
The sections contained within this new subpart will apply to all drilling, completion, workover, and decommissioning activities on the OCS, unless explicitly stated otherwise.

Federal Register Volume 81, Issue 83 (April 29, 2016), Pages 26013 and 26015

<table>
<thead>
<tr>
<th>For information about…</th>
<th>Refer to…</th>
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</thead>
<tbody>
<tr>
<td>Application for permit to drill (APD)</td>
<td>30 CFR 250. subparts D and G</td>
</tr>
<tr>
<td>Oil and gas well-completion operations</td>
<td>30 CFR 250. Subparts D and G</td>
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<tr>
<td>Oil and gas well-workover operations</td>
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Appendix C: **SCHEMATICS OF BOP SYSTEM BOUNDARIES**

Figure 18: Example Choke and Kill Manifold for Subsea Systems

Figure 19: Example Subsea BOP Stack with Optional Locations for Choke and Kill Lines

Figure 20: Example Subsea Ram BOP Space-Out

Figure 21: Example Surface BOP Ram Space-Out

Appendix D: Example Subsea BOP Component Counts

BOP Stack (2 × 31 = 62 Major Components)

1 riser adaptor, 2 choke and kill flex loops, 1 flex joint, 2 annular preventers, 1 riser connector, 1 riser mandrel, 2 choke and kill connectors, 2 sets of shear rams, 4 sets of pipe rams, 14 choke and kill valves and 1 wellhead connector.

Stack Mounted Controls (2 × 398 Components)

2 accumulators, 8 cables, 16 electrical connectors, 2 flowmeters, 2 gas valves, 1 inclinometer, 2 pilot operated check valves, 1 pressure temperature sensor, 117 shuttle valves, 12 SPM valves, 1 wet mate connector and 234 hoses.

Control Pods (4 × 339 Components)

12 accumulators, 2 check valves, 1 compensated chamber, 4 electrical connectors, 4 filters, 1 flowmeter, 12 gas valves, 1 hot line manifold, 1 inclinometer, 2 inter-connect cables, 7 metering valves, 1 pod receptacle, 1 pod stab, 15 pressure gauges, 15 pressure transducers, 1 primary gripper, 7 regulators, 1 secondary gripper and 5 shuttle valves.

Emergency Control Systems (2 × 68 Components)

5 check valves, 6 accumulators, 6 gas valves, 1 hydraulic stab, 1 metering valve, 11 pilot operated check valves, 8 pressure transducers, 1 regulator, 4 relief valves, 10 solenoid valves and 15 SPM valves.

Secondary Control Systems (2 × 78 Components)

3 accumulators, 1 battery, 3 check valves, 1 compensated chamber, 4 filters, 3 gas valves, 1 hydraulic stab, 5 interface seals, 8 pilot operated check valves, 9 pressure transducers, 1 regulator, 11 ROV receptacles, 2 hot-stabs, 3 ROV plans, 8 solenoid valves, 9 SPM valves, 1 surface control units, 2 transducer deployment arms, 2 transducers and 1 wet mate connector.
**Surface Control System (326 Components)**

4 control panels, 2 UPSs, 82 accumulators, 75 ball valves, 12 check valves, 14 filters, 1 flowmeter, 75 gas valves, 1 HPU panel, 2 pressure gauges, 28 pressure switches, 3 pumps, 5 relief valves, 11 solenoid valves and 11 SPM valves.

**Hose Reels and Cables (14 Components)**

3 reels, 3 sheaves, 2 slip rings, 2 MUX cables, 2 MUX cable connectors, 2 slip rings.

**Diverter System (136 Components)**

1 housing, 1 assembly, 1 flex joint, 5 ball valves, 5 actuators, 10 accumulators, 13 ball valves, 2 check valves, 11 pressure gauges, 26 pressure switches, 10 regulators, 1 relief valve, 17 shuttle valves and 23 solenoid valves.

**Choke Manifold System (59 Components)**

4 chokes, 52 gate valves, 2 drape hoses and 1 HPU.

**Riser System (113 Components)**

111 riser joints and 2 telescopic joints.